

Legal Entities' (KYC)
Know Your Customer
FATCA

Arab Jordan Investment Bank

Section One:

(If the answer to any of the following questions is “Yes”, the entity should be classified as “Exempted” and the supporting documents must be obtained “Stop Here”):

- | | | |
|---|------------------------------|-----------------------------|
| 1- A direct reporting or sponsored direct reporting Non-Financial Foreign Entity (NFFE) with IRS? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 2- Non-financial under liquidation or bankruptcy? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 3- A wholly owned by exempted beneficial owners? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 4- A non-profit organization or international organization? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 5- An excepted inter-affiliate to foreign financial institution? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 6- A governmental entity? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 7- A retirement fund? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 8- A central bank? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

Section Two:

U.S. Entity (If the answer to one or more of the following questions is “Yes”, the client must fill in the completed and duly signed W-9 Form):

- | | | |
|---|------------------------------|-----------------------------|
| 1- Does the company have a United States nationality? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 2- Is the company registered in the United States? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

Note: In case all of the above answers are “No”, (please refer to Section Three):

Section Three:

Does the entity have any U.S Indicia? (If the answer on one or more of the following questions is “Yes”, the client must fill in the completed duly signed W-8-BEN-E Form “Stop Here”):

- | | | |
|--|------------------------------|-----------------------------|
| 1- Is an extension of the company/corporation in the US (Holding/Subsidiary/Agency)? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 2- Does the company have any investments in the USA? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 3- Does the company have a phone number in the USA? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 4- Does the company have a P.O. Box / Mailing Address or a correspondence address in the US? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 5- Does the company make any periodic (standing) payment orders to transfer funds from their account at our Bank to the US / or made standing transfers from the US to your account at our Bank? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 6- Does the company granted any authorization or power of attorney to any individual or party in the US over your account at our Bank? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

Section Four:

Is the Entity Active or Passive? (If the answer to the following question is “No”, the entity should be classified as Active & accordingly Exempted. If the answer is “Yes”, the entity should be classified as Passive and the Fifth section must be filled):

- | | | |
|---|------------------------------|-----------------------------|
| 1- Is more than 50% of your corporation income or assets consists & or held to generate one or more of the below incomes? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|---|------------------------------|-----------------------------|

If Yes Kindly tick the appropriate box:

- | | | |
|------------------------------------|------------------------------------|---|
| <input type="checkbox"/> Interests | <input type="checkbox"/> Dividend | <input type="checkbox"/> Rent |
| <input type="checkbox"/> Royalties | <input type="checkbox"/> Annuities | <input type="checkbox"/> Net gains from transactions in commodities or currency exchange? |

Section Five:

- | | | |
|--|------------------------------|-----------------------------|
| 1- Does any of the Partners or owners that holds 10% or more of the capital (influential interest) and / or the authorized signatories holds a US nationality or residency (Green Card)? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|--|------------------------------|-----------------------------|

Arab Jordan Investment Bank

If your answer was Yes, please fill the following:

Major Shareholders\ Partners							
Are you a US taxpayer?	Are you a US resident?	Are you a US green card holder?	Are you a US citizen?	Political judicial military person	Share percentage	Nationality	Shareholders\Partners Names (In Arabic & English)
<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No				
<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No				
<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No				
<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No				

Note: ii In case of Passive NFFE with substantial U.S shareholders (completed duly signed W-8BEN-E Form & Banking Secrecy Waiver Form ii) In case of Passive NFFE with No substantial U.S shareholders (completed duly signed W-8BEN-E Form & Written Statement proving that there are no substantial U.S shareholders duly signed by the authorized signatory(s))

Section Six:

Is the Entity Active or Passive? (If the answer to the following question is “No”, the entity should be classified as Active & accordingly Exempted. If the answer is “Yes”, the entity should be classified as Passive and the Fifth section must be filled):

- 1- An institution that accepts deposits in the ordinary course of banking or similar businesses? Yes No
- 2- An institution that holds a substantial portion of its assets for the benefit of others (Custodian Institution)? Yes No
- 3- An insurance company having cash value or annuity products? Yes No
- 4- A holding company that is part of a group having a financial institution? Yes No
- 5- An investment company conducting business on behalf of its customers in money market trading, individual or collective portfolio management, investing and managing funds, mutual funds, private equity funds, and collective investment vehicle? Yes No

FATCA Status

- Participating Foreign Financial Institution (PFFI) Non- Participating Foreign Financial institution(NPFFI)

Kindly provide the bank with the GIIN number: _____

Name(1): _____	Name(2): _____	Name(3): _____
As(1): _____	As(2): _____	As(3): _____
Signature(1): _____	Signature(2): _____	Signature(3): _____

Name of Branch Manager: _____	Name of Employee: _____
Signature of Branch Manager: _____	Signature of Employee: _____
Date: _____	Date: _____